

## Chapter 2: Extension of rings and fields.

Defn:  $z \in \mathbb{C}$  is called an algebraic number if  $\exists f(x) \in \mathbb{Q}[x]$  a polynomial, s.t.  $f(z) = 0$ .

Ex:  $\sqrt{2}, \sqrt{2} + \sqrt{3}, i,$

Fact: The set of all algebraic numbers forms a subfield  $\bar{\mathbb{Q}} \subseteq \mathbb{C}$ , which is the algebraic closure of  $\mathbb{Q}$ .

$\mathbb{C} - \bar{\mathbb{Q}}$  = transcendental numbers  $\ni \pi, e,$

Roughly speaking, algebraic numbers are most important "numbers" in algebraic number theory.

### §1. Elements integral over a ring

Thm 1.1. :  $R$ : ring,  $A \subseteq R$  subring,  $x \in R$ . TFAE:

(a)  $\exists a_0, \dots, a_{n-1} \in A$ , s.t.  $x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0 = 0$ ,

(b) The ring  $A[x]$  is a fin. gen.  $A$ -mod

(c)  $\exists B$  a subring s.t.  $A \subseteq B \subseteq R$ ,  $x \in B$  and  $B$  is a fin. gen.  $A$ -mod.

Pf: (a)  $\Rightarrow$  (b); clearly  $A[x]$  is generated by  $\{1, x, \dots, x^{n-1}\}$   
since  $x^n = -a_{n-1}x^{n-1} - \dots - a_1x - a_0$ ,  $x^{n+1} = x \cdot x^n = \dots$

(b)  $\Rightarrow$  (c); let  $B = A[x]$ .

(c)  $\Rightarrow$  (a). Suppose  $B = Ay_1 + \dots + Ay_n$ ,  $x \in B$ ,  $B$  is ring

$\Rightarrow xy_i \in B$ .  $\Rightarrow xy_i = \sum_{j=1}^n a_{ij}y_j$ ,  $\forall i$ .

$\Rightarrow \sum_{j=1}^n (\delta_{ij}x - a_{ij})y_j = 0$ ,  $\delta_{ij} = \begin{cases} 0 & i \neq j \\ 1 & i = j \end{cases}$ .

Let  $T = \text{matrix } (\delta_{ij}x - a_{ij})_{1 \leq i, j \leq n}$ . Then  $T \cdot Y = 0$ .

Recall from lin. alg. generalized Cramer's rule,

for an  $n \times n$  matrix  $M$  and equation  $Ma = b$   
where  $a = \begin{pmatrix} a_1 \\ \vdots \\ a_n \end{pmatrix}$ ,  $b = \begin{pmatrix} b_1 \\ \vdots \\ b_n \end{pmatrix}$  column vectors, then have

$$\det M \cdot a_i = \det M_i$$

where  $M_i$  is the matrix by change  $\text{col}_i(M)$  to  $b$

Thus, we have  $(\det T) \cdot y_i = 0 \quad \forall i$

$$\text{thus } (\det T) \cdot y = 0, \quad \forall y \in B$$

$$\Rightarrow \det T = 0 \quad \text{since } 1 \in B$$

$\det T$  is precisely the desired poly. eqn.  $\square$

Defn:  $R$ : ring,  $A \subseteq R$  subring,  $x \in R$  is called integral over  $A$   
if the equivalent conditions in Thm 1.1 are satisfied.

Ex:  $\mathbb{Z} \subseteq \mathbb{C}$ ,  $\sqrt{2} \in \mathbb{C}$  is integral over  $\mathbb{Z}$ , since it is root of  $x^2 - 2 = 0$

Fact:  $\frac{\sqrt{2}}{2}$  is NOT integral over  $\mathbb{Z}$ . (pf = later).

Prop 1.2:  $R$ : ring,  $A \subseteq R$  subring,  $x_1, \dots, x_n \in R$ . If  $x_i$  is integral  
over  $A[x_1, \dots, x_{i-1}]$  for all  $i$ , then  $A[x_1, \dots, x_n]$  is a fin. gen.  
 $A$ -mod.

Pf: Recall an  $A$ -mod  $M$  is fin. gen if  $\exists k$ , s.t.  $\exists A^k \twoheadrightarrow M$ .

Proof by induction on  $n$ .  $n=1$ , Thm 1.1 (2)

suppose "case  $n-1$ " true, then  $\exists k$ , s.t.  $A^k \twoheadrightarrow A[x_1, \dots, x_{n-1}]$ ,

$x_n$  int over  $A[x_1, \dots, x_{n-1}]$ , by Thm 1.1 (2),  $(A[x_1, \dots, x_{n-1}])^{k'} \twoheadrightarrow A[x_1, \dots, x_{n-1}, x_n]$

so get  $A^{kk'} \twoheadrightarrow A[x_1, \dots, x_{n-1}, x_n]$ .  $\square$

Cor 1.3:  $A \subseteq R$  rings, let  $A' = \{x \in R, x \text{ int over } A\}$ , then  $A'$  is a ring and  $A' \supseteq A$ .

Pf: Suppose  $x, y \in A'$ , by Prop 1.2,  $A[x, y]$  is fin. gen  $A$ -mod  
 $\Rightarrow x+y, x-y, xy \in A'$  by Thm 1.1(3).  
 $\Rightarrow A'$  is a ring.  
 $A \subseteq A'$  is obvious, by Thm 1.1(1).

Defn: (1) Let  $A \subseteq R$ ,  $A'$  as in Cor 1.3. Then  $A'$  is called the integral closure of  $A$  in  $R$ .

(2) Let  $A$  be an int domain,  $K = \text{Frac} A$ , then the integral closure of  $A$  in  $K$  is called the integral closure of  $A$ .

(3) Let  $A \subseteq B$  be rings, say  $B$  is integral over  $A$  if  $\forall x \in B$  is int over  $A$ . (i.e., the int clos of  $A$  in  $B$  is  $B$ ).

Prop 1.4 (transitivity of integral relation) Let  $A \subseteq B \subseteq C$  rings, If  $B$  int over  $A$ ,  $C$  int over  $B$ , then  $C$  int over  $A$ .

Pf: Let  $x \in C$ ,  $x$  int over  $B$ ,  $\Rightarrow \exists x^n + b_{n-1}x^{n-1} + \dots + b_1x + b_0 = 0$   
for some  $b_i \in B$ .

Let  $B' = A[b_0, \dots, b_{n-1}] \subseteq B$ , then  $x$  int over  $B'$ .  
 $b_i$  int over  $A$ , by Prop 1.2,  $B'$  is fin. gen  $A$ -mod,  
 $\Rightarrow B'[x]$  is fin. gen.  $A$ -mod by Prop 1.2 again  
 $\Rightarrow x$  int over  $A$ , by Thm 1.1(c).  $\square$

Prop 1.5.  $B$ : int dom;  $A \subseteq B$  subring, and  $B$  is int over  $A$ .  
Then  $B$  is field  $\Leftrightarrow A$  is field.

Pf: " $\Leftarrow$ ". Suppose  $A$  is field. Let  $b \in B$ ,  $b \neq 0$ , WANT: find  $b^{-1}$ .  
 $A[b]$  is fin. gen  $A$ -mod,  $\Rightarrow A[b]$  is fin dim  $A$ -vec space,  
 $y \mapsto by$  is  $A$ -linear transformation of  $A[b]$ .

it is inj since  $A[b] \subseteq B$  is int domain.

Thus it is bijective. Thus  $\exists b' \in A[b]$ , s.t.  $bb' = 1$ , i.e.  $b' = b^{-1}$ .

" $\Rightarrow$ " Suppose  $B$  field, Let  $a \in A - \{0\}$ , Then  $\exists a^{-1} \in B$ . WANT:  $a^{-1} \in A$ .

$a^{-1}$  int over  $A \Rightarrow a^{-n} + a_{n-1}a^{-n+1} + \dots + a_1a^{-1} + a_0 = 0$ ,  $a_i \in A$ ,

multiply  $a^{n-1} \Rightarrow a^{-1} = -(a_{n-1} + \dots + a_1a^{n-2} + a_0a^{n-1})$

$\Rightarrow a^{-1} \in A$ .  $\square$

Defn. Int domain  $A$  is called integrally closed if it is its own integral closure.

Ex:  $A$ : int dom,  $K = \text{Frac } A$ ,  $A' =$  int closure of  $A \subseteq K$ ,

then  $A'$  is int closed. Because by Prop 1.4 (transitivity of int relations),  $X$  int over  $A' \Rightarrow X$  int over  $A \Rightarrow X \in A'$ .

Prop. 1.6: PID is int closed.

Pf: Suppose  $x \in \text{Frac } A$ ,  $x = \frac{a}{b}$  with  $(a, b) = 1$ , (coprime)

If  $x$  int over  $A$ , then  $x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0 = 0$ ,  $a_i \in A$ ,

$\Rightarrow a^n + b(a_{n-1}a^{n-1} + \dots + a_1ab^{n-2} + a_0b^{n-1}) = 0$

$\Rightarrow b|a^n$ , i.e.,  $b|a^{n-1} \cdot a$ , but  $(a, b) = 1$

$\Rightarrow b|a^{n-1}$ , iterate process  $\Rightarrow b|a$

possible only when  $b$  is unit  $\Rightarrow x \in A$ .  $\square$

## §2, Elements algebraic over a field.

Defn:  $R$ : ring,  $K \subseteq R$  subfield,  $x \in R$  is called algebraic over  $K$  if  
 $\exists a_0, \dots, a_n \in K$ , s.t.,  $a_n x^n + \dots + a_1 x + a_0 = 0$

WLOG, can assume  $a_n \neq 0$ . So divide  $a_n$ , get

$x$  alg over  $K \Leftrightarrow x$  integral over  $K$ .

$\Leftrightarrow K[x]$  is fin. gen  $K$ -mod (i.e., fin. dim. vec space)

Defn:  $K \subseteq R$  as above, say  $R$  is an algebraic extension of  $K$   
if  $\forall x \in R$  is alg. over  $K$ .

Lem: If  $L$  is a field,  $K \subseteq L$  subfield, s.t.  $[L:K] < \infty$ , then  
 $L$  is alg. over  $K$ .

Pf: Apply Thm 1.1.(c). (Unless <sup>otherwise</sup> specified, the reference is within  
the current chapter, i.e., This is Chapter 2, Thm 1.1(c).)

Prop 2.1  $K \subseteq L \subseteq M$  three fields, if  $L$  is alg over  $K$ ,  $M$  is alg over  $L$ ,  
then  $M$  is alg over  $K$ . Furthermore  $[M:K] = [M:L] \cdot [L:K]$

Pf:  $M/K$  alg  $\Leftrightarrow M/K$  int  $\Leftrightarrow$  use transitivity of integral relation.

If  $\{l_i\}_{i \in I}$  a basis of  $L$  as  $K$ -mod ( $\Leftrightarrow K$ -vec. space)

$\{m_j\}_{j \in J}$  —  $M - L$  —

then easy to check  $\{l_i m_j\}_{i, j \in I \times J}$  is basis of  $M$  over  $K$ .  $\square$

$R$ : ring,  $K \subseteq R$  subfield,  $x \in R$ . Let  $K[T]$ : polynomial ring.

Let  $\varphi: K[T] \rightarrow R$  be the ring homomorphism defn by  $T \mapsto x$ .

Then  $x$  is alg over  $K \Leftrightarrow \text{Ker } \varphi \neq \{0\}$ .

If  $\text{Ker } \varphi \neq \{0\}$ , since  $K[T]$  is PID  $\Rightarrow \text{Ker } \varphi = (f(T))$  for

Some  $f(T) \in K[T]$ .

Defn:  $x$  is alg over  $K$ , let  $\text{Irr}(x, K) :=$  the unique monic polynomial  
in the ideal  $(f(T))$ . Call it the minimal polynomial of  $x$  over  $K$ .

Easy fact:  $\text{Irr}(x, K)$  is the unique monic poly with least degree  
st.  $x$  is a root.

Ex:  $\text{Irr}(\sqrt{2}, \mathbb{Q}) = T^2 - 2$ ,  $\text{Irr}(i, \mathbb{R}) = T^2 + 1$ .

$\text{Irr}(\sqrt{2}, \mathbb{C}) = T - \sqrt{2}$

Suppose  $R$ : int domain,  $x \in R$ .

Lem 2.2:  $x$  alg over  $K$ , then  $K[x]$  is a field, and  $\text{Irr}(x, K)$  is  
an irreducible polynomial.

Pf  $\text{Irr}(x, K)$  irred  $\Leftrightarrow \text{Ker } \varphi = (f(T))$  is prime ideal

Indeed, if  $g(T), h(T) \in K[T]$ ,  $g(T)h(T) \in \text{Ker } \varphi$ ,

then  $g(x)h(x) = 0 \Leftrightarrow g(x) = 0$  or  $h(x) = 0 \Leftrightarrow g$  or  $h \in \text{Ker } \varphi$ .

$\uparrow$   $R$  is int dom.

FACT: in a PID, a nonzero prime ideal is a maximal ideal.

Pf of fact:  $0 \subsetneq (\alpha) \subsetneq A$ ,  $(\alpha)$  prime. If not max,  $(\alpha) \subsetneq (T) \subsetneq K[T]$ ,

then  $(\alpha) \subsetneq (\beta)$ ,  $\Rightarrow \alpha = \beta\gamma$ , but  $\beta\gamma \in (\alpha) \Rightarrow \gamma \in (\alpha)$  since  $\beta \notin (\alpha)$

$\Rightarrow \gamma = \alpha\theta \Rightarrow \alpha = \beta\alpha\theta \Rightarrow \beta\theta = 1 \Rightarrow (\beta) = A$ , contradiction  $\square$

Now,  $K[x] \cong K[T]/\text{Ker } \varphi$  is a field bec.  $\text{Ker } \varphi$  is a max ideal.  $\square$

Rmk. The condition that  $R$  is int dom is almost always satisfied in our later  
applications. Indeed, we mostly consider the case  $R$  is a field.

(Rmk:  $\mathbb{C} \subseteq \mathbb{C}[x]/x^2$ , then  $\text{Irr}(x, \mathbb{C}) = T^2$ !)

Thm 2.3:  $K$  field, then  $\exists$  some field  $E$ , st. all  $f(T) \in K[T]$  can  
be factored as  $f(T) = \prod_{i=1}^n (T - x_i)$  for some  $x_i \in E$ .

Defn: Call such  $E$  an algebraically closed extension of  $K$ . The minimal such  $E$   
is called an algebraic closure, denoted as  $\bar{K}$ .

Ex:  $K = \mathbb{R}$ , then  $\bar{K} = \mathbb{C}$ .

Pf: We only prove the following fact: given a single fixed  $g(T) \in K[T]$ ,  
can find a finite extension  $K' = K'_g$  of  $K$ , st.  $g(T) = \prod_{i=1}^m (T - x_i)$ , for  $x_i \in K'$

then we can "let"  $\bar{K} := \bigcup_{g \in K[T]} K'_g$  (some set theory, Zorn lemma needed) to make this rigorous.

To prove the above, it suffices to prove when  $g(T)$  is irreducible polynomial. Let  $K'' = K[Y]/g(Y)$ , which is a field.  $g(T)$  considered as a poly in  $K''[T]$ , has a root  $\bar{Y} \Rightarrow g(T) = (T - \bar{Y})h(T)$  for some  $h(T) \in K''[T]$ . We can iterate the argument with  $h(T)$  to find the desired  $K'$ .  $\square$

Lem 2.4:  $K$ : field,  $\text{char } K = 0$ .  $f(T) \in K[T]$  monic, irred. Suppose  $f(T) = \prod_{i=1}^n (T - x_i)$  in  $\bar{K}$ , then  $x_i$  are distinct.

Pf: If not, suppose  $x_1 = x_2$ . Note  $f(T) \in (\text{Irr}(x_1, K)) \Rightarrow [\text{Irr}(x_1, K) = f(T)g(T) \Rightarrow \text{Irr}(x_1, K) = f(T)$ .  $x_1 = x_2 \Rightarrow x_1$  is a root of  $f'(T)$ , (the derivative)  $\Rightarrow f(T) \mid f'(T)$  which is impossible, since  $\deg f'(T) = n-1$ .  $\square$

(Thm of primitive element) finite

Thm 2.5:  $K \subseteq K'$  field extension,  $\text{char} = 0$ , then  $\exists$  some  $\alpha \in K'$ , s.t.  $K' = K[\alpha]$ .

(all such  $\alpha$  a primitive element of the ext  $K'/K$ )

Ex:  $K = \mathbb{Q}$ ,  $K' = \mathbb{Q}(\sqrt{2}, \sqrt{3})$ , then can take  $\alpha = \sqrt{2} + \sqrt{3}$

Pf: If  $[K':K] = 2$ , can take any  $\alpha \in K' \setminus K$ .

By induction, reduces to the case  $K' = K[\alpha, \beta]$ .

WANT some  $\gamma$ , s.t.  $K' = K[\gamma]$ .

Claim: can find some  $\lambda \in K$ , s.t.  $K' = K[\alpha + \lambda\beta]$  (in fact,  $\infty$ -many such  $\lambda$ )

For above to be true, it suffices that  $\beta \in K[\gamma]$

$\Leftrightarrow \text{m}(T) = \text{Irr}(\beta, K[\gamma])$  has deg 1.

Let  $f(T) = \text{Irr}(\alpha, K)$ ,  $g(T) = \text{Irr}(\beta, K)$ ,

let  $h(T) := f(\gamma - \lambda T) \in K[\gamma][T]$ , and  $h(\beta) = 0$ .

Recall  $w(T) \in K[\gamma][T]$ ,  $w(\beta) = 0$

$$\Rightarrow w(T) \mid h(T)$$

also,  $g(T) \in K[T] \subseteq K[\gamma][T]$ ,  $g(\beta) = 0$

$$\Rightarrow w(T) \mid g(T)$$

Thus, it suffices if  $\text{GCD}(h(T), g(T))$  has  $\text{deg} \leq 1$ , considered as elements in  $K[\gamma][T]$ .

which,  $\Leftarrow h(T), g(T)$  has only one common root  $\beta$ .

suppose otherwise,  $h(\beta') = 0, g(\beta') = 0$ ,  $\beta' \neq \beta$ ,

then  $f(\gamma - \lambda\beta') = 0 \Rightarrow \alpha' = \gamma - \lambda\beta'$  is a root of  $f(T)$

$$\alpha' = \alpha + \lambda\beta - \lambda\beta' \Rightarrow \lambda = \frac{\alpha' - \alpha}{\beta - \beta'}$$

So, the only possible "bad"  $\lambda$  are those that can be expressed as above with  $\alpha, \alpha'$  roots of  $f(T)$  and  $\beta, \beta'$  roots of  $g(T)$ ,

$\Rightarrow$  only fin. many such "bad"  $\lambda$ .

Thus can take any other  $\lambda$ .  $\square$

Ex.  $K' = \mathbb{Q}[\sqrt{5}, \sqrt{7}]$

$$f(T) = T^2 - 5, \quad g(T) = T^2 - 7$$

$$\text{bad } \lambda = \frac{\pm(\sqrt{5} - (-\sqrt{5}))}{\pm(\sqrt{7} - (-\sqrt{7}))} = \pm \left( \frac{\sqrt{5}}{\sqrt{7}} \right)$$

thus can take  $\alpha = \sqrt{5} + \lambda\sqrt{7}$  for any  $\lambda \neq \pm \frac{\sqrt{5}}{\sqrt{7}}$  or 0.



Defn:  $K \subseteq L, K \subseteq L'$  fields, a  $K$ -isomorphism is an isomorphism  $\varphi: L \rightarrow L'$  such that  $\varphi(a) = a, \forall a \in K$ .  
And we say  $L$  and  $L'$  are conjugate over  $K$ .

Defn:  $K \subseteq L, K \subseteq L'$  fields,  $\alpha \in L, \alpha' \in L'$ , say  $\alpha, \alpha'$  are conjugate over  $K$ , if  $\exists K$ -isom  $\varphi: K(\alpha) \rightarrow K(\alpha')$  st.  $\varphi(\alpha) = \alpha'$ .

Lem 2.6 Notation as above, then  $\alpha, \alpha'$  are  $K$ -conj  $\Leftrightarrow [Lr(\alpha, K)] = [Lr(\alpha', K)]$ .

Pf: " $\Rightarrow$ ",  $K[T]/(Lr(\alpha, K)) \cong K(\alpha) \cong K(\alpha') \cong K[T]/(Lr(\alpha', K))$

" $\Leftarrow$ " easy

□

Thm 2.7:  $K \subseteq K'$  field ext, char = 0,  $[K':K] = n$ , then  $\exists$  precisely  $n$   $K$ -isom of  $K'$  into  $\overline{K}$ .

Pf: Indeed, let  $K' = K(\alpha)$  by primitive element thm,

let  $Lr(\alpha, K) = f(T) = \prod_{i=1}^n (T - \alpha_i), \alpha_i \in \overline{K}$ , (distinct by Lem 2.4)

The  $n$   $K$ -isom are defn by

$\varphi_i: K' \rightarrow \overline{K}, \varphi_i(\alpha) = \alpha_i$ .

easy to check they are the only one by Lem 2.6. □

### §3. Integers in quadratic fields

Defn:  $K/\mathbb{Q}$ , s.t  $[K:\mathbb{Q}]=2$  is called a quadratic field.

Prop 3.1. Any quad field is of form  $K = \mathbb{Q}(\sqrt{d})$  for some  $d \in \mathbb{Z}$  squarefree.

Pf: Take any  $\alpha \in K \setminus \mathbb{Q}$ , then  $\mathbb{Q} \subsetneq \mathbb{Q}(\alpha) \subseteq K$

$$\circ [K:\mathbb{Q}]=2 = [\mathbb{Q}(\alpha):\mathbb{Q}][K:\mathbb{Q}(\alpha)] \Rightarrow \mathbb{Q}(\alpha)=K$$

Suppose  $\text{Irr}(x, \mathbb{Q}) = x^2 + bx + c$ ,  $b, c \in \mathbb{Q}$

$$\Rightarrow x = \frac{1}{2}(-b \pm \sqrt{b^2 - 4c})$$

$$\Rightarrow \mathbb{Q}(x) = \mathbb{Q}(\sqrt{b^2 - 4c}) = \mathbb{Q}\left(\sqrt{\frac{u}{v}}\right) \text{ for } \frac{u}{v} \in \mathbb{Q}, (u,v)=1$$

$$= \mathbb{Q}\left(\sqrt{\frac{uv}{v^2}}\right) = \mathbb{Q}(\sqrt{uv}) \quad \square.$$

Defn: For  $K = \mathbb{Q}(\sqrt{d})$   $d$  squarefree, call it  $\left. \begin{array}{l} \text{real quad field if } d > 0 \\ \text{imaginary quad field if } d < 0 \end{array} \right\}$

$x \in K \Rightarrow x = a + b\sqrt{d}$ ,  $a, b \in \mathbb{Q}$ , its conjugate in  $\bar{\mathbb{Q}}$  is  $a - b\sqrt{d}$

(recall there are two  $\mathbb{Q}$ -isom of  $K$  into  $\bar{\mathbb{Q}}$ .

$$\text{id: } K \rightarrow \bar{\mathbb{Q}}, \sqrt{d} \mapsto \sqrt{d}$$

$$\sigma: \sqrt{d} \mapsto -\sqrt{d}.)$$

Let  $A := \{x \in K, | x \text{ integral over } \mathbb{Z}\}$  called ring of integers.

Thm 3.2.  $K = \mathbb{Q}(\sqrt{d})$   $d$  sqfree (thus  $d \not\equiv 0 \pmod{4}$ )

(a) if  $d \equiv 2, 3 \pmod{4}$ , then  $A = \{a + b\sqrt{d} \mid a, b \in \mathbb{Z}\}$

(b) if  $d \equiv 1 \pmod{4}$ , then  $A = \left\{ \frac{1}{2}(u + v\sqrt{d}) \mid u, v \in \mathbb{Z}, 2 \mid u-v \right\}$

Pf:  $x \in A \Leftrightarrow \text{Irr}(x, \mathbb{Q}) \in \mathbb{Z}[T]$ , since  $\text{Irr}(x, \mathbb{Q}) = \text{Irr}(\sigma(x), \mathbb{Q})$ ,  $x \in A \Leftrightarrow \sigma(x) \in A$ .

$A$  is a ring.  $\Rightarrow x + \sigma(x), x \cdot \sigma(x) \in A$ . Let  $x = a + b\sqrt{d} \in A$ .

then  $2a \in \mathbb{Q}$ ,  $a^2 - db^2 \in \mathbb{Q}$  are also integral over  $\mathbb{Z}$ .

$\Rightarrow$  PID  $\Rightarrow \mathbb{Z}$  int closed  $\Rightarrow 2a \in \mathbb{Z}$ ,  $a^2 - db^2 \in \mathbb{Z}$

$$\Rightarrow 4a^2 - 4db^2 \in \mathbb{Z} \Rightarrow 4db^2 \in \mathbb{Z} \Rightarrow 4b^2 \in \mathbb{Z} \Rightarrow 2b \in \mathbb{Z}$$

↑  
bec  $d$  sqfree.

$$\text{Let } a = u/2, b = v/2 \Rightarrow u^2 - dv^2 \in 4\mathbb{Z} \quad (*)$$

note  $t \in \mathbb{Z}$ , then  $t^2 \equiv 0, 1 \pmod{4}$

$\Rightarrow$  if  $d \equiv 2, 3 \pmod{4}$ ,  $(*)$  possible only when  $2|u, 2|v$ .

if  $d \equiv 1 \pmod{4}$   $(*)$  possible only when  $2|u-v$ , i.e.,  $u, v$  same parity.

Thus, in case (a),  $A \subseteq \{a + b\sqrt{d} \mid a, b \in \mathbb{Z}\}$

(b)  $A \subseteq \left\{ \frac{u + v\sqrt{d}}{2} \mid u, v \in \mathbb{Z}, 2|u-v \right\}$ .

In (a), easy to see " $\supseteq$ ", since  $\sqrt{d} \in A$

(b) also can check " $\supseteq$ ", i.e., can check  $\frac{1 + \sqrt{d}}{2} \in A$ , since  $\left(\frac{1 + \sqrt{d}}{2}\right)^2 - 1 \left(\frac{1 + \sqrt{d}}{2}\right) - \frac{d-1}{4} = 0$

□

Note, in (a),  $A = \mathbb{Z} \oplus \mathbb{Z}\sqrt{d}$

(b)  $A = \mathbb{Z} \oplus \mathbb{Z}\left(\frac{1 + \sqrt{d}}{2}\right)$

## §4. Norms and traces.

Defn.  $A$ : ring,  $M = A^{\oplus n}$ ,  $u: M \rightarrow M$ ,  $A$ -linear endomorphism,

By lin. alg, can define trace, determinant, characteristic polynomial of  $u$   
 Indeed, pick any basis of  $M$ , and let  $U = (U_{ij})_{n \times n}$  be the  $n \times n$  matrix corresp to  $u$ .

$$\text{Then } \text{Tr}(u) = \text{tr}(U) = \sum_{i=1}^n U_{ii}$$

$$\det(u) = \det(U)$$

$$\text{char. poly}(u) = \det(T \cdot I_n - U) \in A[T].$$

By lin. alg, the above defn is indep of choice of basis.

$$\text{Recall: } \text{Tr}(u+u') = \text{Tr}(u) + \text{Tr}(u')$$

$$\det(uu') = \det(u) \det(u')$$

$$\det(T \cdot I_n - u) = T^n - \text{Tr}(u) \cdot T^{n-1} + \dots + (-1)^n \det(u)$$

Now, let  $A \subseteq B$  rings, s.t.  $B = A^n$  as  $A$ -mod. (e.g. field ext of deg  $n$ )

Defn. For  $x \in B$ , let  $\text{Tr}_{B/A}(x)$ ,  $N_{B/A}(x)$ ,  $\text{char. poly}_{B/A}(x)$  to be the trace, determinant, char. poly of the  $A$ -linear morphism:  $m_x: B \rightarrow B$ ,  $b \mapsto bx$ .

We remove the subscripts "B/A" if no confusion arises

Ex.  $A = \mathbb{Q} \subseteq \mathbb{Q}(\sqrt{2}) = B$ , take  $A$ -basis of  $B$ :  $\{1, \sqrt{2}\}$

$$\text{for } x = \sqrt{2}, m_x: B \rightarrow B \rightsquigarrow \sqrt{2} \begin{pmatrix} 1 \\ \sqrt{2} \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 2 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ \sqrt{2} \end{pmatrix}$$

$$\Rightarrow \text{Tr}(\sqrt{2}) = 0, N(\sqrt{2}) = -2, \text{char. poly}(\sqrt{2}) = T^2 - 2$$

Note. take  $x = a \in A$ , then  $m_a \rightsquigarrow \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix} \Rightarrow \text{Tr}(a) = na, N(a) = a^n$ .

Prop 4.1  $[L:K]=n$ .  
 $K \subseteq L$  fields,  $\text{char} = 0$ ,  $\alpha \in L$ ,  $\text{Irr}(\alpha, K) = \prod_{i=1}^m (T - x_i)$  in  $\bar{K}[x]$ .

(note  $K \subseteq K[x] \subseteq L$ , thus  $m/n$ )

$$\text{Then } \text{Tr}_{L/K}(\alpha) = \frac{n}{m} (\alpha_1 + \dots + \alpha_m),$$

$$N_{L/K}(\alpha) = (\alpha_1 \dots \alpha_m)^{\frac{n}{m}}$$

$$\text{Char poly}(\alpha) = (\text{Irr}(\alpha, K))^{\frac{n}{m}} = \left( \prod_{i=1}^m (T - x_i) \right)^{\frac{n}{m}}$$

PS. **Special case**  $n=m$ , i.e.,  $L=K[x]$ , i.e.,  $\alpha$  is a primitive elt.

$$\text{Denote } F(T) = \text{Irr}(\alpha, K) = T^n + a_{n-1}T^{n-1} + \dots + a_1T + a_0$$

As a  $K$ -mod,  $L=K[x] = \langle 1, x, \dots, x^{n-1} \rangle_K$

the matrix of  $m_\alpha$  w.r.t. basis  $\{1, x, \dots, x^{n-1}\}$  is

$$m_\alpha(1, x, \dots, x^{n-1}) = \begin{pmatrix} 0 & & & & -a_0 \\ 1 & 0 & & & -a_1 \\ 0 & 1 & & & \vdots \\ \vdots & \vdots & \ddots & \ddots & 0 \\ 0 & 0 & & 0 & -a_{n-1} \end{pmatrix} \in M$$

$$T \cdot I_n - M = \begin{pmatrix} T & & & & a_0 \\ -1 & T & & & a_1 \\ & -1 & T & & \vdots \\ & & & \ddots & a_{n-2} \\ 0 & & & -1 & T + a_{n-1} \end{pmatrix} \begin{matrix} \text{row}_1 \\ + T \cdot \text{row}_2 \\ + \\ + T^{n-1} \cdot \text{row}_n \end{matrix} \rightsquigarrow \begin{pmatrix} 0 & 0 & & 0 & F(T) \\ -1 & T & & & a_1 \\ & -1 & T & & \vdots \\ & & & \ddots & \vdots \\ & & & -1 & T + a_{n-1} \end{pmatrix}$$

$$\Rightarrow \text{char poly}(\alpha) = \det(T \cdot I_n - M) = (-1)^{n-1} \cdot F(T) \cdot (-1)^{n-1} = F(T)$$

$$\Rightarrow \text{Tr}(\alpha) = \sum x_i, \quad N(\alpha) = \prod x_i$$

**General case**  $[L:K(x)] = \frac{n}{m} = r$ . Suppose  $L = \langle z_1, \dots, z_r \rangle_{K(x)}$ . Since  $K(x) = \langle 1, x, \dots, x^{m-1} \rangle_K$

then  $L = \langle z_1, xz_1, \dots, x^{m-1}z_1, z_2, xz_2, \dots, x^{m-1}z_2, \dots, z_r, xz_r, \dots, x^{m-1}z_r \rangle_K$

check  $m_\alpha$  w.r.t. basis of  $L$  above is  $\begin{pmatrix} M & & 0 \\ & M & \\ 0 & & M \end{pmatrix}$ , then easy to conclude  $\square$